

United States of America  
CUSTOMS SERVICE

---

Customs Broker Licensing Examination

DIRECTIONS TO THE COMPETITOR - READ CAREFULLY

This examination consists of 80 questions. A passing score is 75%. You are to choose the correct answer to each question from among the five alternatives (A, B, C, D, or E) presented. Then, record your answer on the separate answer sheet by darkening the answer space which corresponds to the letter of the alternative you chose.

You may refer **ONLY** to the following:

- Harmonized Tariff Schedule of the United States (1998) (USITC Publication 3066)  
(No supplements)
- Title 19, Code of Federal Regulations (19 CFR Parts 1 to 199) Revised as of April 1, 1997

Applicants are responsible for having current references. Although you may also use the Customs Regulations received by subscription or privately printed reprints of the two specified references, Customs does not guarantee that they are up to date, and their use is at the applicant's risk.

You may use a silent battery-operated calculator.

Scores on this test are based on the number of questions answered correctly. There is no penalty for guessing. Therefore, it is to your advantage to answer every question that you can, even if you are not sure of the correct answer.

The examination lasts 4 hours. When you finish, give this booklet and your answer sheet to the test administrator.

**DO NOT TURN THIS PAGE UNTIL TOLD TO DO SO BY THE EXAMINER.**



1. A patent owner in the United States believes merchandise is being imported into the United States which infringes upon the patent. Which of the following is the patent owner **NOT** required to provide to Customs in order for Customs to conduct a patent survey?
- A) the name and address of the patent owner
  - B) a description of merchandise believed to infringe upon the patent
  - C) the country of manufacture of the merchandise
  - D) a bond in the amount of the patent survey
  - E) A certified copy of the patent registration issued by the Patent and Trademark Office, along with three additional copies for Customs files.
2. Merchandise is exported from Germany on September 29 and arrives in the port of New York on October 1. An entry is filed on October 2, and on October 6 the entry summary is filed with the appropriate duties and taxes. The rate of duty that applies is determined by which of the following?
- A) the date of liquidation
  - B) the date of export on September 29
  - C) the date of importation on October 1
  - D) the date the entry is filed on October 2
  - E) the date the entry summary is filed on October 6
3. Which of the following is **NOT** required for a facility to be accepted as an approved Customs bonded warehouse?
- A) financial statement showing current assets of the company
  - B) proof of fire insurance
  - C) map of the facilities to be bonded
  - D) list of names and addresses of the companies' officers and managing officials
  - E) fingerprint cards for all officers and managing officials
4. The "date of exportation" referred to in section 402, Tariff Act of 1930 means which of the following?
- A) The date the merchandise leaves the manufacturer's premises destined for the United States.
  - B) The date the merchandise is sold to the U.S. buyer.
  - C) The date the merchandise leaves the country of export destined for the United States.
  - D) The date monies are transferred from the U.S. buyer to the foreign seller.
  - E) The date the merchandise is loaded onto the international vessel destined for the United States.

5. Which of the following is the correct Value Hierarchy under which appraisement occurs?
- A) Transaction Value, Deductive Value, Computed Value, Other Value, Transaction Value of identical and similar merchandise
  - B) Transaction Value, Computed Value, Deductive Value, Other Value, Transaction Value of identical and similar merchandise
  - C) Transaction Value, Transaction Value of identical and similar merchandise, Computed Value, Other Value, Deductive Value
  - D) Transaction Value, Transaction Value of identical and similar merchandise, Deductive Value, Computed Value, Other Value
  - E) Transaction Value, Other Value, Transaction Value of identical and similar merchandise, Deductive Value, Computed Value
6. Which date is used when converting foreign currency?
- A) date merchandise is imported
  - B) date merchandise is sold
  - C) date monies are transferred from the buyer to the seller
  - D) date the entry summary is filed and duties are paid
  - E) date merchandise is exported
7. What is the time limitation for filing a claim on an entry for a clerical error under 19 USC 1520(c)(1)?
- A) 90 days from the date of entry
  - B) 90 days from the date of liquidation
  - C) 1 year from the date of entry
  - D) 1 year from the date of import
  - E) 1 year from the date of liquidation
8. Which of the following is the minimum dollar amount difference required to file a protest?
- A) A minimum dollar amount difference does not exist.
  - B) There must be a difference in \$20 or more between the estimated duties, taxes, and fees and the liquidated duties, taxes, and fees.
  - C) There must be a difference in \$50 or more between the estimated duties, taxes, and fees and the liquidated duties, taxes, and fees.
  - D) There must be a difference in \$100 or more between the estimated duties, taxes, and fees and the liquidated duties, taxes, and fees.
  - E) A protest cannot be filed if it involves a dispute between the estimated and the liquidated duties, taxes, and fees.

9. Generally, which of the following is **NOT** eligible for Informal Entry?
- A) commercial shipments under \$1,250
  - B) shipments of personal and household effects
  - C) commercial shipments of quota merchandise
  - D) tools of the trade entered under Chapter 98
  - E) products of the United States valued under \$10,000 being returned for credit
10. Which of the following constitutes the time limit within which merchandise must be exported or destroyed under the provisions of Unused Merchandise Drawback (Same Condition Drawback)?
- A) one year of the date of exportation
  - B) one year of the date of importation
  - C) three years of the date of exportation
  - D) three years of the date of importation
  - E) five years of the date of importation
11. Upon receipt of an inbond shipment at the port of destination, the bonded carrier shall surrender the inbond manifest and notify the port director of merchandise arrival within which of the following?
- A) no more than 2 working days after the arrival of any portion of the inbond shipment
  - B) no more than 2 working days after the departure of the inbond shipment
  - C) no more than 5 working days from the date of arrival
  - D) no more than 5 working days from the date of exportation
  - E) no more than 30 working days from the date of arrival
12. Clark is a licensed broker and is employed by ABC Brokers, Inc. in Los Angeles. Clark does not have an individual permit but qualifies the permit for ABC. Linda, Clark's friend, is importing some jewelry for sale at a convention. Linda knows Clark is a broker and she wants him to enter the merchandise on her behalf. Linda asks Clark if he can discount his fee since she spent so much on the jewelry.
- Based on the above paragraph, which of the following statements is correct?
- A) To save Linda some money, Clark, himself, may enter the goods because he possesses an individual broker's license.
  - B) Clark may not transact Customs business on Mary's behalf due to an apparent conflict of interest.
  - C) Clark, acting for ABC, may enter the goods on Mary's behalf, and the fee is negotiable.
  - D) Clark, himself, may enter Mary's goods, but only with a valid power of attorney from Mary.
  - E) Clark, himself, may enter Mary's goods, but only with written permission from ABC.

13. Generally, a broker's license is required for which of the following transactions?
- A) entering a \$200,000 necklace for one's own account
  - B) owner of goods admitting merchandise into a foreign trade zone
  - C) clearing a vessel
  - D) freight forwarder (nominal consignee) filing a TIB entry
  - E) bonded carrier making entry for merchandise to be transported in bond
14. Which of the following is the correct classification for dyed warp knitted fabric weighing more than  $100 \text{ g/m}^2$ , consisting of 85% cotton and 15% manmade fibers, and is 60 cm wide?
- A) 5208.32.30
  - B) 5408.23.19
  - C) 6002.20.30
  - D) 6002.42.00
  - E) 6002.49.00
15. How would one classify a shipment of poplin or broadcloth 100% manmade filament woven fabric?
- A) Classify the fabric in chapter 53 in accordance with Statistical Note 1(b).
  - B) Classify the fabric in chapter 54 in accordance with Statistical Note 1(c).
  - C) Classify the fabric in chapter 54 in accordance with Statistical Note 1(e).
  - D) Classify the fabric in chapter 55 in accordance with Statistical Note 1(a).
  - E) Classify the fabric in chapter 55 in accordance with Statistical Note 1(d).
16. An inquiry by Customs is being made concerning the importation of merchandise by a certain importer through the port of Miami. The importer is instructed to meet with Customs in Miami over this matter. He chooses not to participate directly but wishes to have his agent, a Customs broker permitted only in Los Angeles, represent him.
- Based on the above paragraph, which of the following statements is correct?
- A) The broker may not represent the importer in such matters since he is not permitted in Miami.
  - B) The broker may represent the importer if he has a letter from the importer giving him authorization.
  - C) The broker may represent the importer in such matters if he has a valid power of attorney.
  - D) Only an attorney at law may be authorized to represent an importer in matters before Customs.
  - E) The importer must appear in person in such matters.

17. The terms of sale stated on the invoice are Freight On Board (FOB). Which of the following deductions are allowed when determining the entered value?
- A) The freight costs are deductible.
  - B) The insurance costs are deductible.
  - C) The freight and insurance costs are both deductible.
  - D) The inland freight costs are deductible.
  - E) No deductions are allowed.
18. The legal liquidation date of a formal entry is which of the following?
- A) the date when the entry is posted to the bulletin notice of liquidation
  - B) the date when the entry is posted to the courtesy notice of liquidation
  - C) 90 days from the deposit of duties, taxes, and fees
  - D) 314 days from the date of entry
  - E) there is never a legal date for liquidation
19. Which of the following is **NOT** an addition to the price actually paid or payable when appraising merchandise under Transaction Value?
- A) packing costs
  - B) buying commission
  - C) selling commission
  - D) royalty or license fee
  - E) value of an assist
20. Merchandise is subject to an absolute quota and the invoice lists the quota category as 314. Upon review of the entry documentation and classification it is determined that the merchandise is classifiable as category 315. The quota for category 315 is closed, however the category for 314 is still open. Which of the following actions should be taken?
- A) The merchandise should be classified as category 314 and can be entered for consumption.
  - B) The merchandise should be classified as category 315 and cannot be entered for consumption.
  - C) The importer can receive a quota waiver and can enter the merchandise as category 315.
  - D) The merchandise should be classified as category 315 and can be entered at a higher rate of duty.
  - E) The importer can request a split quota allotment from Customs.

21. As a general rule, how many days does the importer have to file the entry summary?
- A) within 5 working days after the date of importation
  - B) within 5 working days after the time of entry
  - C) within 10 working days after the date of exportation
  - D) within 10 working days after the date of liquidation
  - E) within 10 working days after the time of entry
22. Which of the following **CANNOT** transport merchandise from one port to another in the United States in bond without the request of the party in interest from the port director?
- A) common carrier
  - B) freight forwarder
  - C) bonded cartmen
  - D) contract carrier
  - E) private carrier
23. For the purposes of quota, the time of presentation is which of the following?
- A) the acceptance of an entry/entry summary in proper form
  - B) the time the ship enters the port limits
  - C) at the end of the business day when the entry has been filed
  - D) when the merchandise is released
  - E) the date of export
24. The Glass Company has a shipment of engraved wine glasses. The invoice quantity is 400 boxes valued at \$8.00, FOB per box. The invoice also shows that the glasses have lead content by weight of 22% and that each box contains four glasses. Which of the following is the correct classification of the wine glasses?
- A) 7013.21.2000
  - B) 7013.21.5000
  - C) 7013.29.2000
  - D) 7013.29.4000
  - E) 7013.39.3000



25. Which of the following is the correct classification of plastic inflatable mattresses designed to be used in the home?
- A) 3924.90.5500
  - B) 3926.90.7500
  - C) 3926.90.9880
  - D) 9403.70.8010
  - E) 9404.21.0000
26. Which of the following is the proper classification of hair combs made of hard rubber with an invoice price of \$0.50 per dozen?
- A) 4016.99.0500
  - B) 4017.00.0000
  - C) 9615.11.1000
  - D) 9615.11.2000
  - E) 9615.19.6000
27. Which of the following is **NOT** a responsibility of a foreign trade zone operator?
- A) submitting a list of all other businesses in which the proprietor has financial interest
  - B) submitting a written application to the port director for approval of alterations to an activated area
  - C) submitting a new Customs Bond CF 301 at the request of the port director when the zone area is substantially altered
  - D) submitting a list of officers, employees, or any other persons with financial interest in the operator
  - E) submitting a written application to the port director to deactivate or reactivate a zone site
28. Mary, a licensed broker who is the qualifying member of a licensed partnership retires from the brokerage. Which of the following statements is correct?
- A) The broker has 180 days to replace Mary or the license will be revoked by operation of law.
  - B) The broker has 120 days to replace Mary or the permit will be revoked by operation of law.
  - C) The broker may not continue conducting Customs business since Mary was the only licensed partner.
  - D) The broker must immediately give written notice to the Commissioner and to each port director where the broker is permitted.
  - E) The broker has 120 days to replace Mary or the license will be suspended until a replacement is found.

29. Which of the following **CANNOT** sign drawback documents?
- A) the president, vice president, secretary, or treasurer of a corporation
  - B) an officer for the shipper or manufacturer of the imported goods
  - C) a full partner of a partnership
  - D) an owner of a sole proprietorship
  - E) a person with power of attorney
30. Key Largo Customs Brokerage (KLCB), a licensed broker, decides to import life jackets (6307.20.00) to cash in on the big tourist business in the area. One of their clients regularly imports similar items and does a lucrative business. Which of the following statements is correct?
- A) KLCB may not import items similar to that of their clients since it would represent a conflict of interest.
  - B) A broker may not also be an importer.
  - C) KLCB must notify the port director for permission to import such articles.
  - D) KLCB must apply for a waiver from the Commissioner.
  - E) KLCB must notify their client that they are also going to import life jackets.
31. A shipment of tables was found to be not legally marked with the proper country of origin upon examination at the pier. The port director can demand redelivery of this merchandise within how many days?
- A) 10 days from the date the merchandise enters the Customs territory
  - B) 30 days from the date of entry
  - C) 30 days from the date of export
  - D) 90 days from when the merchandise is found to be improperly marked
  - E) The port director cannot demand redelivery.
32. A principal on a basic importation and entry bond agrees to all **EXCEPT** which of the following conditions?
- A) redeliver merchandise on demand by Customs
  - B) mark merchandise to bring it into compliance with marking laws
  - C) hold merchandise at the place of examination until it is properly released
  - D) repay any drawback erroneously received under accelerated payment procedures
  - E) deposit in a timely manner duties, taxes, and charges imposed at the time of release

33. If an article is incomplete or unfinished and has the essential character of the complete or finished article, how should it be classified using the Harmonized Tariff Schedule (HTS)?
- A) classify the article under the HTS number under which the finished or complete article would be classified
  - B) classify each part separately under its own HTS number
  - C) classify all the parts under the HTS number for the part with the greatest value
  - D) classify all the parts under the HTS number for the part which gives the article its essential character
  - E) classify the article in Heading 9802 as articles assembled abroad
34. A jewelry company plans to import a pair of gold earrings in a flimsy cardboard box. The earrings have a value of \$5.00 per pair and the box has a value of \$0.50. Using principles of classification which of the following classifications is correct?
- A) classified under 4819.20.0040 with a value of \$5.50
  - B) classified under 7113.19.5000 with a value of \$5.50
  - C) classified under 7113.19.5000 with a value of \$5.00
  - D) classified under 7113.19.5000 with a value of \$5.00 and under 4819.20.0040 with a value of \$0.50
  - E) classified under 7113.19.5000 with a value of \$0.50 and under 4819.20.0040 with a value of \$5.00
35. Failure to file a timely entry summary with the applicable duties, taxes, and fees will result in which of the following?
- A) the revocation of the brokerage license
  - B) the assessment of liquidated damages
  - C) the detention of the merchandise
  - D) the importer will be prohibited from entering future shipments for 1 year
  - E) the broker will be prohibited from entering future shipments for 1 year
36. Which of the following is **NOT** a program where special tariff treatment may be provided under the Harmonized Tariff Schedule of the United States?
- A) Agreement on Trade in Pharmaceutical Products
  - B) Andean Trade Preference
  - C) The European Economic Community Free Trade Agreement
  - D) North American Free Trade Agreement
  - E) Uruguay Round Concessions on Intermediate Chemicals for Dyes

37. Which of the following is the correct classification of a shipment of floral picks consisting of plastic holly leaves and plastic holly berries that are assembled by a snap together method?
- A) 3926.40.0000
  - B) 3926.90.9880
  - C) 6702.10.2000
  - D) 6702.10.4000
  - E) 9505.10.4020
38. Who is required to sign a NAFTA certificate of origin?
- A) Freight forwarder
  - B) Importer or the importer's authorized agent
  - C) Customhouse broker
  - D) Exporter or the exporter's authorized agent
  - E) Customs officer
39. Which of the following may be listed as co-principals on a bond?
- A) XYZ, Inc. and an unincorporated division of XYZ, Inc.
  - B) John Smith, an individual, and J&J, a partnership
  - C) RTY, Inc. and JKL, Inc., both subsidiaries of CVB, Inc.
  - D) J&C, a partnership, and AGJ, Inc.
  - E) GHT, Inc., and Mary Baker, an individual
40. Jewelry boxes are being imported into the United States. Prior to retail, the boxes will be filled with a pair of base metal cuff links. The jewelry boxes are made of plastic and their exterior surface is covered with a flocked manmade fiber textile. Which of the following is the correct classification for the jewelry boxes?
- A) 4202.92.6010
  - B) 4202.92.9010
  - C) 4202.92.9015
  - D) 4420.90.6500
  - E) 7117.19.9000

41. Of the following, which is **NOT** subject to protest under 19 USC 1514?
- A) exclusion of merchandise from entry or delivery
  - B) valuation of merchandise
  - C) classification of merchandise
  - D) disallowance of drawback
  - E) assessment of liquidated damages
42. What is the timeframe within which a filer has to correct a 19 USC 1520(a) clerical error that resulted in the deposit or payment of excess duties, fees, or charges?
- A) 0 days
  - B) 60 days from the date of liquidation
  - C) 90 days from the date of liquidation
  - D) 120 days from the date of entry
  - E) prior to liquidation
43. An application for special permit for immediate delivery supported by proper documentation should be made on which of the following Customs forms?
- A) CF 3461
  - B) CF 3561
  - C) CF 7501
  - D) CF 7502
  - E) CF 7512
44. An importer may file a claim for preferential tariff treatment under NAFTA in accordance with 19 USC 1520(d) within which time frame?
- A) 90 days from the date of exportation of the good
  - B) 90 days from the date of liquidation of the entry
  - C) 1 year from the date of exportation of the good
  - D) 1 year from the date of importation of the good
  - E) 1 year from the date of liquidation of the entry

45. Which of the following is **NOT** an allowable foreign trade zone status?
- A) privileged foreign status
  - B) prohibited merchandise status
  - C) non-privileged foreign status
  - D) domestic status
  - E) zone-restricted status
46. A bonded warehouse proprietor must file a Customs Form 300 (except a smelting or refining warehouse) with the Field Director, Regulatory Audit, at which of the following times?
- A) by December 31 every year
  - B) by January 1 every year
  - C) by January 1 every three years
  - D) within 30 days from the end of its business year
  - E) within 45 days from the end of its business year
47. Whenever 19 CFR refers to the term “possessions of the U.S.” it means which of the following?
- A) Alaska, Puerto Rico, and Hawaii
  - B) Guam, Puerto Rico, and the U.S. Virgin Islands
  - C) Guam, Puerto Rico, U.S. Virgin Islands, and the American Samoa
  - D) Guam, Puerto Rico, U.S. Virgin Islands, the American Samoa, the Northern Mariana Islands, and the Pacific Trust Territories
  - E) Guam, American Samoa, the Northern Mariana Islands, and the Pacific Trust Territories
48. Which of the following is true about a Harbor Maintenance Fee (H.M.F.)?
- A) It is a port use fee of 0.123% collected by U.S. Customs on commercial cargo loaded on or unloaded from commercial vessels.
  - B) It is a port use fee of 0.125% collected by U.S. Customs on commercial cargo loaded on or unloaded from commercial vessels.
  - C) It is a port use fee of 0.21% collected by U.S. Customs on commercial cargo loaded on or unloaded from commercial vessels.
  - D) It is a port use fee of 1.25% collected by U.S. Customs on commercial cargo loaded on or unloaded from commercial vessels.
  - E) It is a port use fee of 12.5% collected by U.S. Customs on commercial cargo loaded on or unloaded from commercial vessels.

49. Which of the following is true about a broker status report?
- A) The broker status report is filed every three years after January 1, 1976 stating current name, address, indicating if actively in business, and a fee of \$100 must be attached.
  - B) The broker status report is filed every three years after February 1, 1979 stating current name, address, indicating if actively in business, and a fee of \$100 must be attached.
  - C) The broker status report is filed every year after February 1, 1979 stating current name, address, indicating if actively in business, and a fee of \$125 must be attached.
  - D) The broker status report is filed every three years after February 1, 1979 stating current name, address, indicating if actively in business, and a fee of \$150 must be attached.
  - E) The broker status report is filed every five years after February 1, 1979 stating current name, address, indicating if actively in business, and a fee of \$300 must be attached.
50. Once Customs issues written notification advising a broker or other person of an allegation or complaint in which a monetary penalty will be assessed against the broker, how many days does the broker have to respond in writing?
- A) 5
  - B) 10
  - C) 30
  - D) 90
  - E) 180
51. A warehouse entry is filed in January by SKM brokerage firm on behalf of the importer of record, Joe Smith, for 5,000 wrenches. Joe transfers ownership to his sister, Susan Jones, in February. In March, Joe calls his broker and asks the broker to file a warehouse withdrawal on his behalf for 1,000 of the wrenches.
- Based on the above paragraph, which of the following should be done by the broker?
- A) Inform Joe Smith that he cannot file a warehouse withdrawal on his behalf because he transferred the ownership of the merchandise to his sister's name.
  - B) Inform Joe Smith that SKM brokerage will file a warehouse withdrawal on Joe's behalf but that Joe will have to submit a check for the duties, taxes, and fees.
  - C) Inform Joe Smith that SKM brokerage will file a warehouse withdrawal on Joe's behalf and that payment for the duties, taxes, and fees is not applicable since it was paid upon importation.
  - D) Inform Joe Smith that SKM brokerage will have to cancel the original entry and that his sister Susan Jones will have to lodge another warehouse entry and then a withdrawal.
  - E) Inform Joe Smith that SKM brokerage will have to cancel the original entry and that his sister Susan Jones will have to lodge a reconciliation entry and then a withdrawal.

52. The ABC Company imports dead rats from Iceland for resale to labs in the United States. They use BC Lines as their shipper and Johnson as their broker. XYZ Labs Inc. buys these rats on the domestic wholesale market. Which of the following parties concerned is **NOT** required by Customs to keep records pertaining to this importation?
- A) XYZ Labs Inc.
  - B) Johnson
  - C) ABC Company
  - D) BC Lines
  - E) BC Lines and XYZ Labs Inc.
53. The rules of origin as outlined in 19 CFR 102 are established to determine which of the following?
- A) the country of export
  - B) the value
  - C) the textile category
  - D) the intended use
  - E) the cost of international freight rates
54. Which of the following represents the required additions to the price actually paid or payable?
- A) packing costs, the value of assists, proceeds, customs duties, and other federal taxes incurred by the buyer
  - B) packing costs, the value of assists, proceeds, royalties, and selling commissions incurred by the buyer
  - C) packing costs, the value of assists, proceeds, brokerage costs, and selling commissions incurred by the buyer
  - D) selling and buying commissions
  - E) inland U.S. freight
55. Which of the following costs does **NOT** contribute toward GSP eligibility?
- A) fringe benefits of production workers
  - B) maintenance of production machinery
  - C) electricity to power production machinery
  - D) taxes on building space related to the manufacturing process
  - E) salesperson's salaries



56. Mark receives his individual Customs broker's license. He opens an office in Mobile, Alabama and secures a permit to conduct Customs business from the Mobile port director. Mark is approached by an importer in Gulfport, Mississippi who wants to hire Mark to clear his merchandise at the port of Gulfport, part of the Mobile Customs district. Which of the following must Mark do to be able to transact Customs business as a broker in Gulfport?
- A) He must secure a permit from the Gulfport port director and establish a place of business there.
  - B) He need not establish a place of business in Gulfport, but must secure a permit from the port director there.
  - C) He must establish a place of business in Gulfport, employ at least one individual who is a licensed Customs broker, and secure a permit from Gulfport.
  - D) He may apply to the port director in Gulfport for a waiver from the requirement to employ a broker in Gulfport.
  - E) He need do nothing, Mark is already authorized to conduct Customs business in Gulfport.
57. In classifying a composite article made of three different components, one consisting of steel, the second of brass, and the third of aluminum, which of the following information would be of greatest concern in determining which of three chapters (Chapter 73, Chapter 74, or Chapter 76) should be used?
- A) the weight of each metal
  - B) the value of each metal
  - C) the width of each metal
  - D) the function of each component
  - E) which of the three components imparts the essential character of the article
58. Which of the following statements concerning carnets is true?
- A) An A.T.A. carnet will not be accepted for unaccompanied samples being imported.
  - B) Carnets shall be accepted for importations by mail.
  - C) Extra items shall not be added to an A.T.A. carnet after it is issued.
  - D) An A.T.A. carnet with a validity period in excess of one year may be accepted, providing an extension is requested.
  - E) A bond is required for merchandise imported under an A.T.A. carnet.
59. Which of the following items is **NOT** required on the sales ticket file by the proprietor for a Class 9 warehouse?
- A) warehouse entry number
  - B) sales ticket date and number
  - C) quantity
  - D) current balance
  - E) duties, taxes, and fees

60. Which of the following is the correct classification for freshly cut Douglas-fir Evergreen Christmas trees imported from Canada?
- A) 0602.90.6010
  - B) 0602.90.9010
  - C) 0604.91.0020
  - D) 0604.91.0040
  - E) 9505.10.5010
61. For statistical reporting purposes, the country of exportation should **NOT** be the country of origin under which of the following circumstances?
- A) Goods are produced and sold for export to the United States in one country and shipped through another country for exportation.
  - B) Goods are produced in one country and shipped from the same country.
  - C) Goods are produced in one country and shipped from the same country but are diverted to a second country due to bad weather conditions.
  - D) Goods are produced in one country, sold and shipped to a buyer in a second country who then sells and exports the goods to the United States.
  - E) Goods are produced in one country and shipped from the same country. During the ocean voyage the goods are sold in transit.
62. Which of the following records is a broker **NOT** required to retain at his/her place of business?
- A) accounting records as they pertain to the broker's financial transactions
  - B) copies of entries filed for clients
  - C) powers of attorney authorizing the broker to conduct customs business for clients
  - D) his/her permit to conduct business
  - E) a statement identifying employees authorized to transact customs business on the broker's behalf
63. ABC company imports a shipment of cherry flavored cough drops from China packaged for retail sale. Sugar is the main ingredient in the cough drops and they contain 8 mg of menthol per dose. Which of the following is the correct classification for the cough drops?
- A) 1704.90.2500
  - B) 1704.90.3550
  - C) 3003.90.0000
  - D) 3004.50.5040
  - E) 3006.50.0000

64. A shipment of dried, shelled kidney beans is imported from Korea. The merchandise is packed in 20 pound bags. Under which of the following subheadings should the merchandise be classified?
- A) 0708.20
  - B) 0710.22
  - C) 0712.20
  - D) 0713.31
  - E) 0713.33
65. An upscale gift shop in New York City is importing toothbrushes. The handle of the toothbrush is composed of porcelain with a 14 karat gold monogram. The toothbrushes are valued at \$125.00 per piece. Which of the following is the correct classification for the toothbrushes?
- A) 3306.90.0000
  - B) 7108.13.7000
  - C) 7115.90.3000
  - D) 9603.21.0000
  - E) 9605.00.0000
66. Three thousand AC power-operated kitchen timers with opto-electronic displays, without jewels, were imported January 1, 1994 and duty paid. On June 30, 1997 the timers were exported to China to be repaired under warranty. The total value of the 3,000 timers is \$3,000. The cost of the repairs made in China totals \$1,500. The timers were re-imported on July 31, 1997. Which of the following is the correct Chapter 98 classification?
- A) 9801.00.2500
  - B) 9801.00.8000
  - C) 9802.00.4040
  - D) 9802.00.5010
  - E) 9802.00.8065
67. S&W Brokerage Services has moved to a new location in Dallas. This new office does not provide enough filing space for inactive records. S&W is allowed to destroy records beyond which of the following retention periods for records received or generated by a broker?
- A) 5 years from the date of entry
  - B) 8 years from the date of arrival
  - C) 8 years from the date of entry
  - D) 10 years from the date of arrival
  - E) 10 years from the date of entry

68. A professional hockey team is importing cowhide leather ice hockey gloves from Canada. The gloves have seams and are lined to keep the players' hands warm during the game. Which of the following is the correct classification for the gloves?
- A) 4203.21.7000
  - B) 4203.29.2000
  - C) 4203.29.3010
  - D) 4203.29.3020
  - E) 9506.99.2580
69. On September 30, 1997, a shipment of 1,000 complete watch dials was imported. A month later, 1,000 14 karat gold watch chains were imported, to be assembled to the watch dials in the United States. Which of the following is the correct classification for the watch chains?
- A) 7113.11.1000
  - B) 7113.19.5000
  - C) 7117.19.9000
  - D) 9113.10.0000
  - E) 9113.90.8000
70. The Finnish vessel Viking Star is a fish factory ship trawling for sardines. When caught, the fish are salted, cooked, and canned. The ship makes port in St. Petersburg, Russia, where the cans are warehoused. They are then shipped to Brussels, Belgium, where they are packed in retail cardboard boxes. From Brussels they are shipped via a German ship to Felixstowe, England, where they are put in containers for shipment to the United States.
- Which of the following is the correct country of origin?
- A) Belgium
  - B) England
  - C) Finland
  - D) Germany
  - E) Russia

71. A broker has been granted permission by Customs to retain records on microfilm. For Customs purposes, which of the following **CANNOT** be retained on microfilm?
- A) accounts
  - B) correspondence
  - C) declarations
  - D) powers of attorney
  - E) statements
72. Dr. Smith, a pediatrician, imports thermometers. These thermometers are inserted into the ear, and the patient's temperature is recorded automatically on a digital display. Which of the following is the correct classification of the thermometers?
- A) 9025.11.2000
  - B) 9025.11.4000
  - C) 9025.19.8040
  - D) 9025.80.5000
  - E) 9025.90.0000
73. Which of the following is a Customs service port ?
- A) Phoenix, AZ
  - B) Hartford, CT
  - C) Duluth, MN
  - D) Memphis, TN
  - E) Cincinnati, OH
74. Mr. Jones is a bonded carrier, but not a licensed broker, transporting merchandise from Vancouver, Canada, to San Francisco, California. The U.S. port of arrival is Blaine, Washington. Ms. Smith, the owner of the goods, asks Mr. Smith to file an immediate transportation entry on Ms. Smith's behalf.
- Based on the above paragraph, which of the following statements is the most correct?
- A) Mr. Jones cannot file the immediate transportation entry on Ms. Smith's behalf without being a licensed broker.
  - B) Mr. Jones must wait until he reaches San Francisco, California, before he can file the immediate transportation entry.
  - C) Mr. Jones may file the immediate transportation entry.
  - D) Ms. Smith must hire a broker to make a warehouse entry.
  - E) Ms. Smith must hire a broker to file a consumption entry in Blaine, Washington.

75. Which of the following articles is **NOT** considered classifiable as a finished article under GRI-2(a)?
- A) a pick-up truck without its cargo box
  - B) a king-sized bed without side-rails
  - C) an automobile without an engine
  - D) a disassembled bicycle without a seat
  - E) a bicycle seat without the bicycle frame
76. A bonded warehouse proprietor is **NOT** responsible for which of the following?
- A) receipting of all incoming merchandise
  - B) verifying that the bonded freight is marked with country of origin
  - C) maintaining permit file folders
  - D) reporting theft, shortage, overage, or damage within 2 days of the occurrence
  - E) filing the permit file folder with Customs within 30 business days after final withdrawal
77. Which of the following shipments would **NOT** be excepted from the country of origin marking regulations?
- A) Twelve thousand playing cards, imported by a local toy store, that were made in Taiwan to be sold at retail.
  - B) One thousand crystal vases which were hand-blown in and shipped from Germany, then put into a bonded warehouse for two months upon their arrival in the United States, and are now being withdrawn from the warehouse by the local gift shop that is the importer of record.
  - C) Thirty watches, claimed to be antiques, that were produced 35 years ago in Switzerland.
  - D) A china set purchased by a newlywed couple for their new home while they were on their honeymoon in Ireland which has just arrived at the port for clearance.
  - E) Ball bearings from Mexico that will be incorporated into rollerblades to be manufactured by the importer of record.
78. For legal purposes, classification shall be determined by which of the following principles?
- A) alphabetical index
  - B) table of contents
  - C) terms of heading
  - D) title of sections
  - E) title of chapter

79. Which of the following is the correct classification for a baseball?
- A) 9506.62.8060
  - B) 9506.69.2040
  - C) 9506.91.0030
  - D) 9506.99.1500
  - E) 9506.99.6080
80. Which of the following would **NOT** be considered a violation of the country of origin marking statute, 19 USC 1304?
- A) The mark of origin appears on the sealed retail packaging, but not on the article itself.
  - B) The mark of origin appears on the article, but is not visible when displayed in its sealed retail packaging.
  - C) The mark of origin appears on the article and on the back side of the retail packaging, but not in close proximity to the U.S. address of the importer appearing on the front side of the packaging.
  - D) The mark of origin appears on a conspicuous hang tag, but the retailer cuts off the hang tag before displaying the merchandise for sale.
  - E) The article is not marked with the country of origin, but the importer submits an affidavit certifying that the article is not for resale and is to be given away in a promotional campaign.

**STOP.**  
**THIS IS THE END OF THE TEST.**  
**You may use the remaining time to go back and check your answers.**

**Test No. 581, Series 130, April 6, 1998  
FINAL ITEM KEY -- APRIL 28, 1998**

<b>Item:</b>	<b>Key:</b>	<b>Content Area:</b>
1	D	CFR 12
2	D	CFR 141
3	A	CFR 19
4	C	CFR 152
5	D	CFR 152
6	E	CFR 159
7	E	CFR 173
8	A	CFR 174
9	C	CFR 143
10	D	CFR 191
11	A	CFR 18
12	C	CFR 111
13	D	CFR 111
14	D	HTS XI
15	B	HTS XI
16	A	CFR 111
17	E	CFR 152
18	A	CFR 159
19	B	CFR 152
20	B	CFR 132
21	E	CFR 142
22	C	CFR 18
23	A	CFR 132

<b>Item:</b>	<b>Key:</b>	<b>Content Area:</b>
25	B	HTS VII
26	D	HTS XX
27	A	CFR 146
28	B & D	CFR 111
29	B	CFR 191
30	E	CFR 111
31	B	CFR 141
32	D	CFR 113
33	A	HTS GRI
34	B	HTS GRI
35	B	CFR 172
36	C	HTS GN
37	D	HTS XII
38	D	CFR 181
39	C	CFR 113
40	C	HTS VIII
41	E	CFR 174
42	E	CFR 173
43	A	CFR 142
44	D	CFR 181
45	B	CFR 146
46	E	CFR 161
47	VOID	CFR 24



Test No. 581, Series 130, April 6, 1998  
FINAL ITEM KEY -- APRIL 28, 1998

Item:	Key:	Content Area:
24	C	HTS XIII
49	B	CFR 111
50	C	CFR 111
51	A	CFR 144
52	VOID	CFR 162
53	C	CFR 102
54	B	CFR 152
55	E	CFR 10
56	E	CFR 111
57	A	HTS XV
58	C	CFR 114
59	E	CFR 144
60	C	HTS II
61	D	HTS GSN
62	E	CFR 111
63	A	HTS IV
64	E	HTS II

Item:	Key:	Content Area:
48	B	CFR 24
65	D	HTS XX
66	C	HTS XXII
67	A	CFR 143
68	A	HTS VIII
69	B	HTS XVIII
70	C	CFR 102
71	D	CFR 162
72	C	HTS XVIII
73	C	CFR 101
74	C	CFR 111
75	E	HTS GRI
76	B	CFR 19
77	B	CFR 134
78	C	HTS GRI
79	B	HTS GRI
80	A	CFR 134